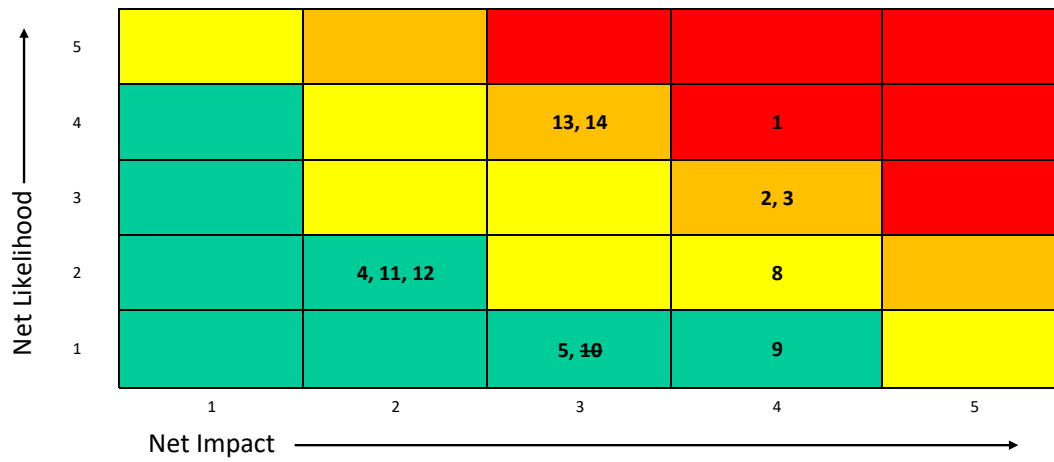
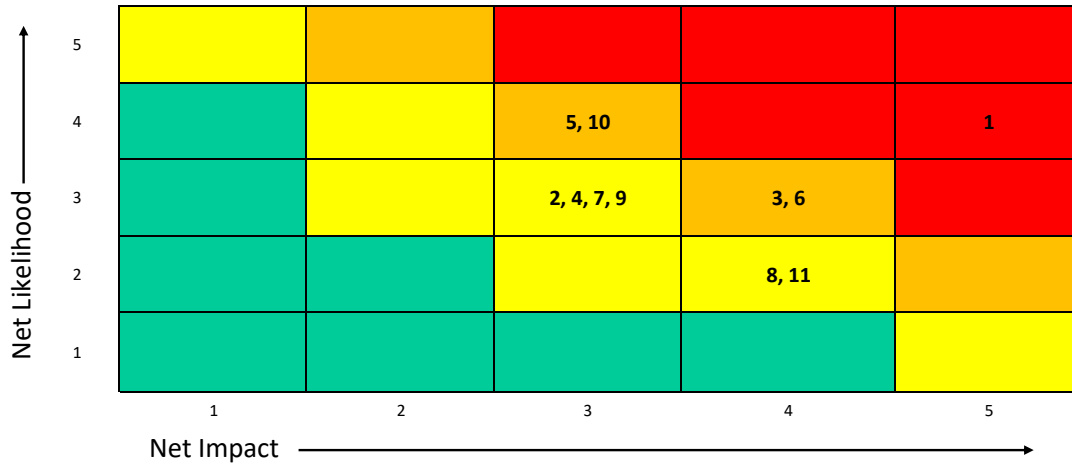


### Adult Care and Health Risk Register - Q3 2023/24 - Appendix D



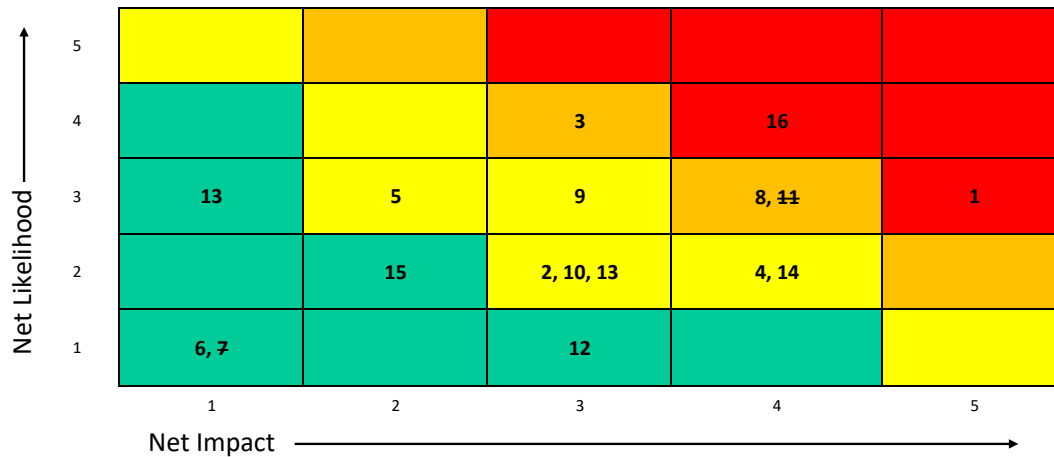
Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	Failure to deliver Financial Strategy	25	16	↑ 4
2	Failure to deliver effective Adult Social Care services	16	12	↔
3	Failure to deliver effective Learning Disability services	16	12	↔
4	Deprivation of Liberty	12	4	↔
5	Recruitment and Retention - ASC	20	3	↔
8	Inability to deliver an effective Public Health service	12	8	↔
9	Emergency Response/Business Continuity	10	4	↔
<del>10</del>	<del>Data Collections</del>	9	3	<b>REMOVED RISK</b>
11	Failure to deliver partial implementation of Health & Social Care Integration	6	4	↔
12	Adult Social Care Reform	10	4	↔
13	Adult Transport	12	12	↔
14	Community Equipment - Children and Adults	16	12	↔

**Children, Education and Families Risk Register - Q3 2023/24 - Appendix E**



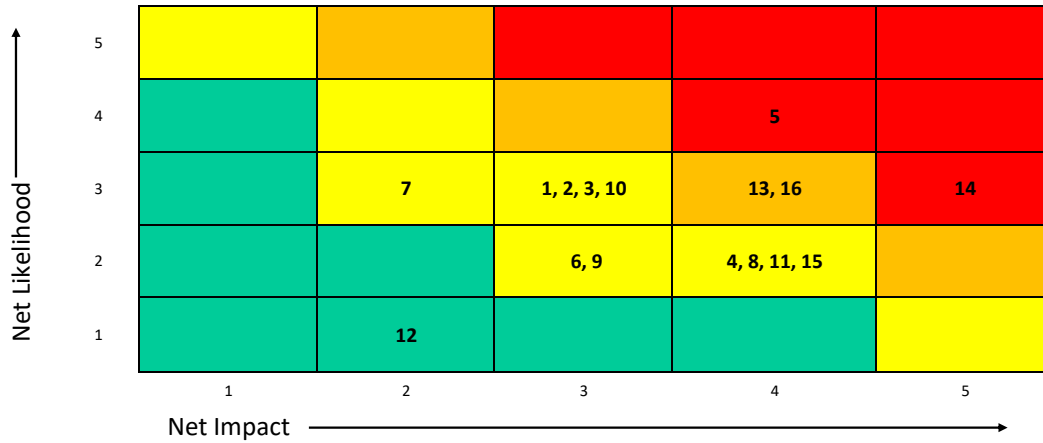
Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	Failure to deliver Children's Services Financial Strategy	25	20	↔
2	Failure to deliver effective Children's services	15	9	↔
3	Recruitment and Retention	20	12	↔
4	Emergency Response (ER) / Business Continuity (BC)	12	9	↔
5	School Place Planning	12	12	↔
6	SEND Transport	15	12	↔
7	SEND Reforms	16	9	↔
8	Youth Offending	12	8	↔
9	Out of Borough Placements (Children and Young People)	9	9	↔
10	Speech and Language Therapy	12	12	↔
11	School Attendance	12	8	↔

### Corporate Services - March 2024 - Appendix F



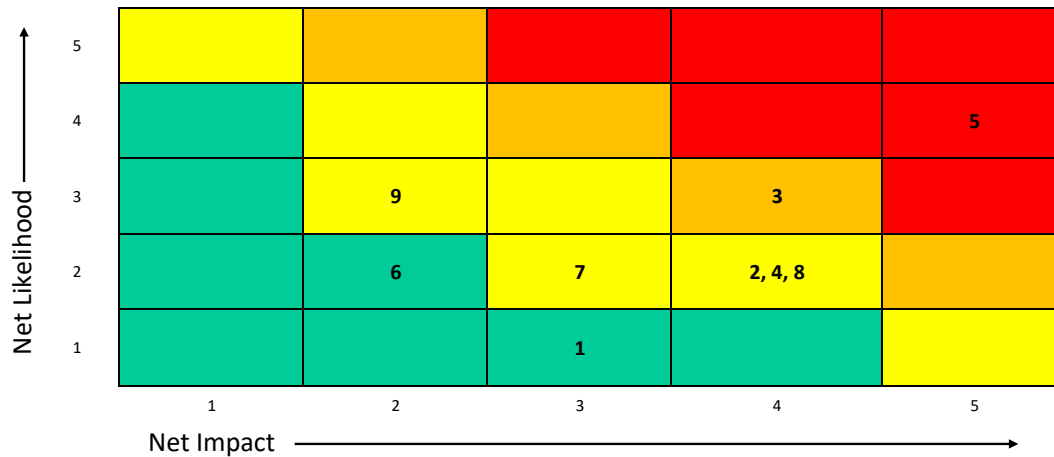
Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	IT Security Failure	20	15	↔
2	Telecommunication Failure - Prolonged telecoms / switchboard failure	15	6	↔
3	IT System Failure (partial loss)	16	12	↔
4	IT System Failure (total loss) Complete failure of IT systems resulting in widespread disruption across the Council	15	8	↔
5	Network Loss Loss of the customer service centre network as a result of a major malfunction of the council's network, leading to system access loss preventing staff from processing service requests.	9	6	↔
6	Laptop Manufacturer/Provider Issue Current model of corporate laptop in constraint	9	1	↔
7	Delay in IT Managed Service re-procurement	6	1	REMOVED RISK
8	Effective governance and management of information	16	12	↔
9	Budgetary overspend	12	9	↔
10	Failure to follow Legal Advice Breach of law, statutory duty or carrying out inadequate consultation arising from failure of clients to follow Legal briefing procedures	9	6	↔
11	Data Protection Breach	20	12	REMOVED RISK
12	Failure to publish Register of Electors	6	3	↔
13	Failure to manage election process	12	6	↔
14	Ineffective governance and management of contracts	12	8	↔
15	Ineffective governance and management of contracts - Contracts Database	6	4	↔
16	Information Request non-Compliance	20	16	↔

**Environment and Community Services Risk Register 1st March 2024 - Appendix G**



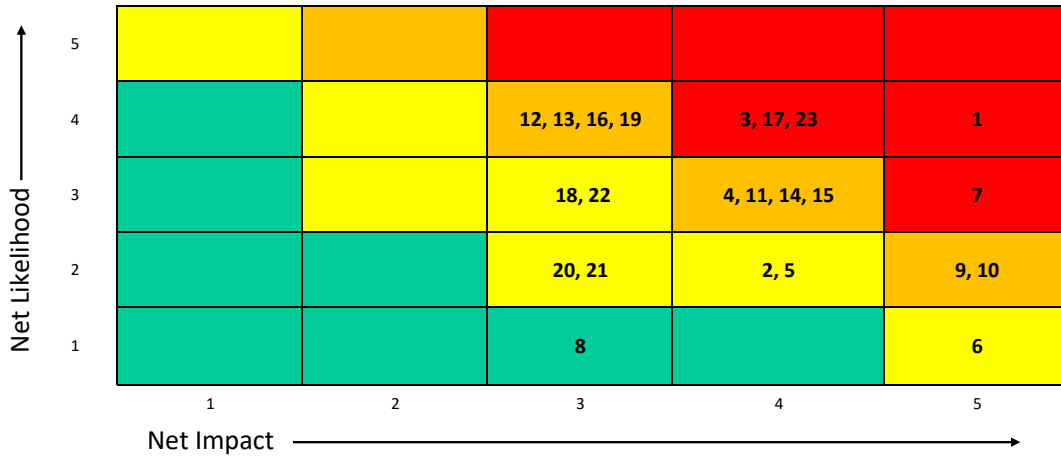
Risk No.	E&PP Risk Ref	Risk Description	Gross Risk Rating	Current Risk Rating	Change to Net rating since last report
1	1	Emergency Response	12	9	↔
2	2	Central Depot Access	12	9	↔
3	4	Business Continuity Arrangements	12	9	↔
4	8	Health & Safety (ECS)	12	8	↔
5	12	Highways Management	16	16	↔
6	13	Arboricultural Management	12	6	↔
7	14	Income Variation (Highways, Traffic and Parking)	9	6	↔
8	15	Waste Budget	12	8	↔
9	18	Town Centre Markets	12	6	↔
10	20	Staff Resourcing and Capability	12	9	↔
11	22	Climate Change	12	8	↔
12	26	Income Reconciliation (Waste Management)	6	2	↔
13	40	Disruption to waste services during the Depot Improvement Programme works	20	12	↔
14	42	Supplier Failure	15	15	↔
15	43	Horizontal Swing Barriers	12	8	↔
16	44	Arboricultural Management: Service Provider Performance Issues	12	12	↔

### Finance - February 2024 - Appendix H



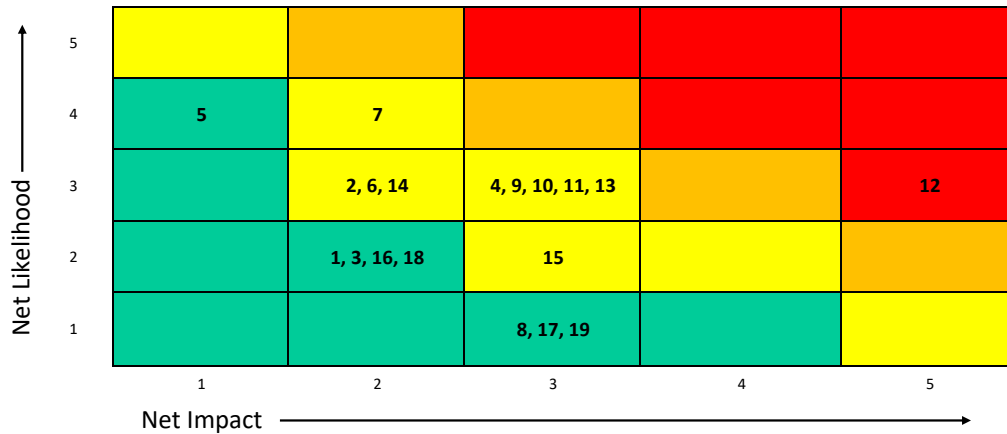
Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	Failure to ensure sufficient cover of Council assets This could result in the possibility of our insurance company refusing to cover a claim above the level of our current excess (£125k for general property and Liability, £250k for educational property).	4	3	↔
2	Financial Market Volatility Financial loss arising from the volatility of financial markets.	12	8	↔
3	Capital Financing Shortfall Risk of significant costs increase in the Capital Programme and possible reductions in proceeds from disposals could impact on the Council's ability to fully fund the Capital programme	16	12	↔
4	Pension Fund The pension fund not having sufficient resources to meet all liabilities as they fall due	15	8	↔
5	Failure to deliver a sustainable Financial Strategy which meets with Making Bromley Even Better priorities and failure of individual departments to meet budget	25	20	↔
6	Failure of Finance IT systems	6	4	↔
7	Failure of external contractors	9	6	↔
8	Contractor Poor Performance Contractor fails to meet performance expectations across Revs & Bens, Payroll, Pensions, Debtors and Accounts Payable	12	8	↔
9	Significant Fraud/Corruption	12	6	↔

**Housing, Planning and Regeneration Risk Register - Q4 2023/24 - Appendix I**



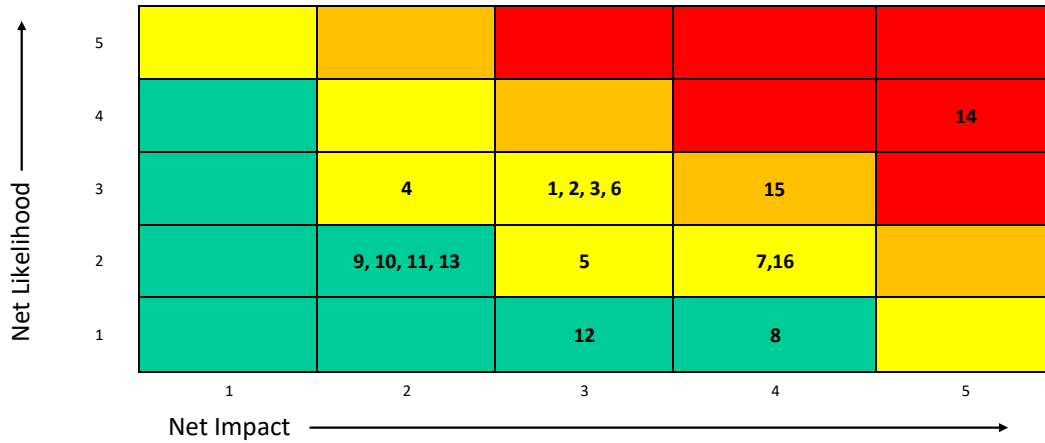
Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	Failure to deliver Housing Financial Strategy	25	20	↔
2	Failure to deliver effective Housing Needs services	16	8	↔
3	Temporary Accommodation	20	16	↔
4	Capital Grant	16	12	↔
5	Recruitment and Retention	20	8	↔
6	Business Interruption / Emergency Planning	10	5	↔
7	Health and Safety (Fire and First Aid) - Non compliance with Regulation	25	15	↔
8	Data Collections	9	3	↔
9	Financial Performance	15	10	↔
10	Contractor Performance	15	10	↔
11	Capital Financing Shortfall	16	12	↔
12	Operational Property Repair - Budget and Programme	20	12	↔
13	Operational Property Repair - Buildings Failure	20	12	↔
14	Bromley Health & Wellbeing Centre	12	12	<b>NEW RISK</b>
15	Outreach Service	16	12	↔
16	Vitality and Prosperity of Town Centres	16	12	↔
17	Capital Schemes	25	16	↔
18	Leisure Centres	16	9	↔
19	Library Service	16	12	↔
20	Planning Service	12	6	↔
21	Community Infrastructure Levy	6	6	↔
<del>21</del>	<del>Section 106 Agreements</del>	9	<del>4</del>	<b>REMOVED RISK</b>
22	London Plan	15	9	↔
23	Homes for Ukraine and other Refugee Programmes	20	16	↔

## Human Resources and Customer Services - March 2024 - Appendix J



Risk Ref	Risk Description	Gross Risk Rating	Net Risk Rating	Change to Net rating since last report
1	Ability to respond to industrial action, changes in government initiatives or legal requirements	4	4	↔
2	Failure to comply with HR related legislative requirements e.g. Equalities Act 2010	12	6	↔
3	1) ineffective workforce planning initiatives including succession planning, talent management. 2) upskilling of staff - lack of training resources/opportunities	9	4	↔
4	Ineffective recruitment and retention strategies for hard to fill posts	12	9	↔
5	Ineffective pre-employment checks including agency workers	12	4	↔
6	Management of the on-going transitional and transformational changes (Commissioning process, baseline exercise and service redesigns and alternative delivery options)	12	6	↔
7	HR systems failures e.g. payroll, recruitment, HR self-service, pensions	10	8	↔
8	Ineffective compliance with IR35	10	3	↔
9	Staff not completing mandatory and/or required training	12	9	↔
10	Health & Safety (Council) Ineffective management, processes and systems across all Council departments Including in relation to the following areas: Fire Safety Lone Working Violence & Aggression at work COSHH	15	9	↔
11	Failure to comply with H&S related legislative requirements e.g. Health and Safety at Work etc. Act 1974	15	9	↔
12	Insufficient fire safety arrangements Non compliance with Regulatory Reform (Fire Safety) Order 2005, as amended by the Fire Safety Act 2021	20	15	↔
13	Insufficient first aid arrangements Non compliance with Health and Safety (First Aid) Regulations 1981	12	9	↔
14	Fall in income from Registrars	9	6	↔
15	Contractor Failure	8	6	↔
16	Contractor Performance	12	4	↔
17	Maintenance of Statutory and GRO standards	9	3	↔
18	Loss of Facility	9	4	↔
19	Safety of Statutory Records	9	3	↔

**Public Protection and Enforcement Risk Register - 1st March 2024 - Appendix K**



Risk No.	E&PP Risk Ref	Risk Description	Gross Risk Rating	Current Risk Rating	Change to Net rating since last report
1	1	Emergency Response	12	9	↔
2	2	Central Depot Access	12	9	↔
3	4	Business Continuity Arrangements	12	9	↔
4	14	Income Variation (Highways and Parking)	9	6	↔
5	18	Town Centre Markets	12	6	↔
6	20	Staff Resourcing and Capability	12	9	↔
7	22	Climate Change	12	8	↔
8	29	Out of Hours Noise Service	4	4	↓4
9	30	Integrated Offender Management post	9	4	↔
10	31	Community Impact Day Co-ordinator post	9	4	↔
11	32	Serious Youth Violence and Gangs Officer post	9	4	↔
12	33	The provision of 24/7 CCTV Monitoring	12	3	↔
13	34	Loss of income from Licenced Premises fees	6	4	↔
14	37	Increased Costs for Coroners Service	20	20	↔
15	39	Dysfunctionality of Uniform Information Management System	20	12	↔
16	42	Health & Safety (PP&E)	12	8	↔